

## **Information Services & Technology Audit Policy**

### **I. PURPOSE**

To provide the authority for members of UMDNJ's IS&T Security Services staff to conduct a security audit on any system at UMDNJ.

Audits may be conducted to:

- A. Ensure integrity, confidentiality and availability of information and resources
- B. Investigate possible security incidents ensure conformance to UMDNJ security policies
- C. Monitor user or system activity where appropriate.

### **II. APPLICABILITY**

This policy covers all computer and communication devices owned or operated by UMDNJ. This policy also covers any computer and communications device that are present on UMDNJ premises or the University network, but which may not be owned or operated by UMDNJ.

### **III. ACCOUNTABILITY**

Under the President, the Senior Vice Presidents shall ensure compliance with this policy. The Vice President for Information Systems and Technology (IST), the President/CEOs of the Healthcare Units, Deans and Vice Presidents shall implement this policy by means of system specific procedures, guidelines and standards.

### **IV. POLICY**

When requested, and for the purpose of performing an audit, any access needed will be provided to members of UMDNJ's IS&T Security Services Staff or designee.

This access may include but is not limited to:

- A. User level and/or system level access to any computing or communications device
- B. Access to information (electronic, hardcopy, etc.) that may be produced, transmitted or stored on UMDNJ equipment or premises
- C. Access to work areas (labs, offices, cubicles, storage areas, etc.)
- D. Access to interactively monitor and log traffic on UMDNJ networks.

### **V. NON-COMPLIANCE AND SANCTIONS**

Any person found to have violated this policy may be subject to denial or removal of access privileges to the University network; disciplinary action under applicable University policies and procedures up to and including termination; civil litigation; and/or civil or criminal prosecution under applicable state and federal statutes.